Improving Emotional Regulation using NeurOptimal Training for ASD Youth

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SOS CHILDREN'S VILLAGE BC

Some context...

I have been working with children and youth at-risk since 1994, and since 2005 more specifically with individuals with Autism. As a counselor, this objective proved difficult – along with the relational challenges inherent with autism, we are often dealing with symptoms of anxiety, low frustration tolerance, impulsiveness, and reactivity.

The combined effect made working towards improved emotional regulation difficult.

I began using NeurOptimal in 2010 to address ER with ASD individuals.

I joined SOS Children's Village BC in 2013 with the objective of creating a NFB based autism service.

In 2015 Dr. Lise Delong became my Clinical Director and mentor.



What is Autism (ASD)? What comes along with it?

- I. A neurological disorder that affects communication and socialization and often involves repetitive movements. It is a lifelong condition occurring in approximately 1 in 68 individuals. It affects males 4.5 times more than females.
- II. There is a large comorbidity factor with ASD ADHD, anxiety, OCD, depression, etc are often present for the ride.

"As compared with non ASD individuals, children diagnosed with ASD utilize nearly 12 times more psychiatric services for problems related to anxiety and depression as well as those associated with externalizing behaviors (i.e. aggression, defiance, self-injury, and tantrums) {Croen, Najjar, Ray, Lotspeich, & Bernal, 2006}".

What is Emotional Regulation?

ER refers to the ability to control one's emotions.

Because ER is thought of as a important adaptive mechanism that allows individuals to sustain an ideal level of arousal in order to meet personal and social goals [Chambers, Gullone, & Allen, 2009], an inability to regulate one's emotions well, must be considered an important factor in understanding the high incidence of required psychiatric services (Mazefsky, Borue, Day, and Minshew, 2014).

What are the most common interventions for Autism?



The established interventions for autism, SLP, OT, and Behavior Intervention, do not directly address improving ER.









Why NeurOptimal for ASD?

NeurOptimal training is easy to implement.

The process is enjoyable for the client allowing even impulsive, distracted, and anxious youth to, not just endure, but enjoy participating in sessions.

The client is not required to do anything because NeurOptimal training works with the unconscious brain.

Positive results occur within a relatively brief span of time in areas which are often treatment resistant.

The system is portable and easily applied in the home setting.

The research indicates that gains achieved through neurofeedback training sustain themselves after training had stopped.

Autism treatment is often multi-modal, so improving brain performance enhances outcomes for other interventions such as Speech Therapy, OT and Behavioral Interventions.

The training positively affects multiple domains of functioning including socialization, emotional regulation, and academic performance.

NeurOptimal training with ASD Youth

N = 20, females = 4, males = 16

Each received minimum of 10 sessions. 2 participants had 50+ sessions.

Sessions were 33 min in duration - default settings

<u>Tracking of symptoms</u> – anxiety and low frustration tolerance were tracked closely – as they are most associated with self-regulation. Reporting came in the form of interviews with clients, their parents and caregivers. Their perspective considered many domains of functioning – school, home, and community.

In addition to NeurOptimal, the children and youth were also receiving counselling occurring concurrently.

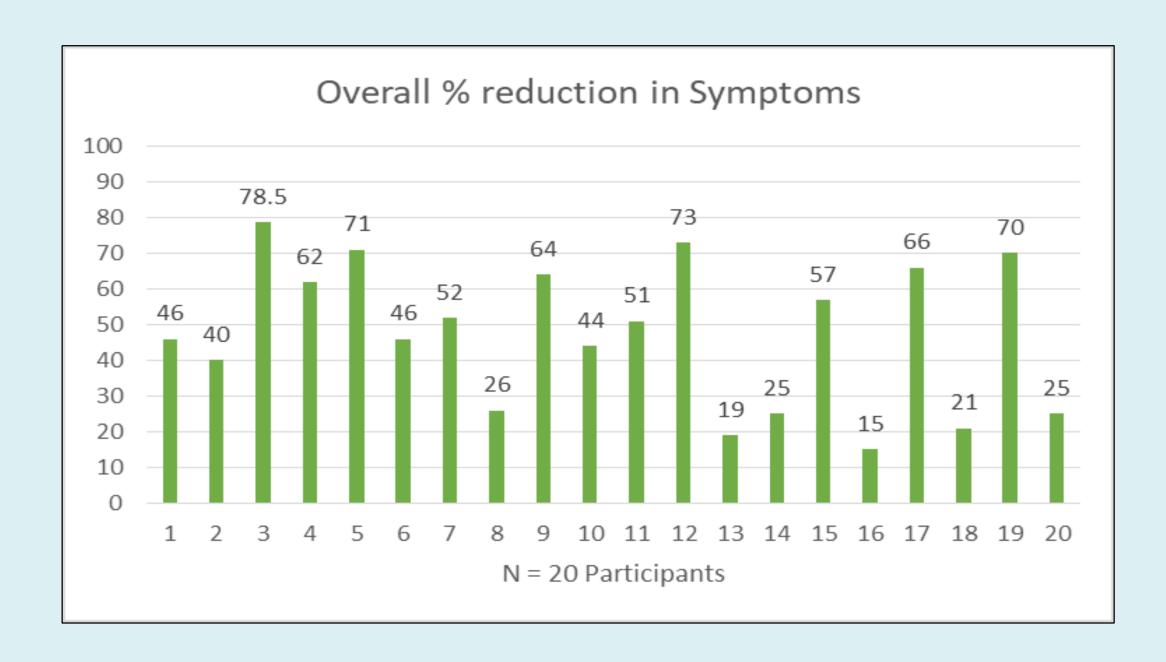
Tracking tools

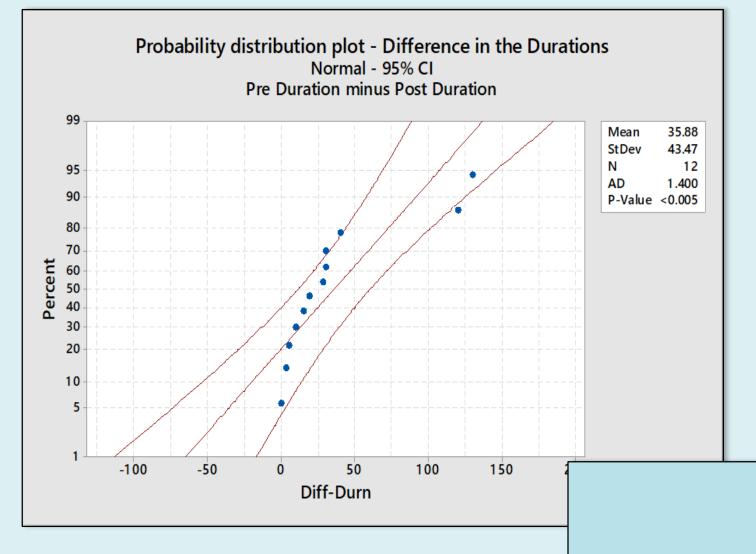
SOS BC utilizes a version of the NeurOptimal Tracking tools to establish a baseline measure prior to the beginning of training.

| | | ist for Neuroteed | lback Training | |
|--|-------|-------------------|---|-----------------|
| Client name: | | | ly the boxes that apply over the past six m | |
| | | Items which | are blank will be scored as '0 = no CONCE | rn'. |
| Date: | _: | 1 = mild concern | 2 = moderate concern | 3 = severe |
| | Sever | | | Severity 1-3 |
| Emotionally sensitive | | Avoiding thin | 25 | |
| Difficulty falling asleep | | Oppositional | - | |
| Unable to fall asleep or restless during the night | | | eling like wanting to throw up a lot) | |
| Waking up early | | | t/interest in people or activities | |
| Difficulty waking up | | Holds a grudg | | |
| Nightmares or night terrors | | Rages, loss of | | |
| Sleep walking | | Tantrums | | |
| No energy to do things | | Crying | | |
| Resisting going to bed | | Argumentativ | e | |
| Difficulty with transitioning | | Hitting | | |
| Afraid of being alone | | Biting | | |
| Feeling 'flat' | | Self-Harming | | |
| Rapid heart rate | | | lot of the time | |
| Anxious | | Headaches or | | |
| Encopresis (soiling pants and/or bed) | | Fainting | mgrunes | |
| Enuresis (wetting pants and/or bed) | | | T due to stomach flu or colds) | |
| Dizziness | | Feeling tense | | |
| Controlling | | Constipation | or opeigne | |
| Lying | | | NOT explained by injury) | |
| Headaches | | | OT explained by injury) | |
| Difficulty expressing feelings | | Over/under e | | |
| Shy | | | lds or flus (sick more than usual) | |
| Fearful | | Hyperactivity | | |
| Obsessive compulsive | | | nizing thoughts | |
| Aggressive | | | are against you | |
| Irritable | | Feeling overw | | |
| Easily frustrated | | Difficulty focu | | |
| Stomach aches (stress-related) | | Easily distract | | |
| Panic attacks | | | anizing activities | |
| Post-traumatic stress | | Not completing | | |
| Mood swings | | Loses train of | | |
| Poor emotional regulation | | | pleting school work | |
| Parentified | | | rouble at school | |
| Hypervigilant | | Inattention/d | | |
| Unwilling to express feelings | | Slow processi | | |
| Indiscriminately approaches strangers (no stranger | | | ms (difficulty building things, | |
| danger) | | | g how things should be put together) | |
| Thoughts that won't leave your mind | | Worrying a lo | | |
| Lacks empathy towards others | | | lot of the time | |
| Poor social cues | | Impulsive | | |
| Poor boundaries | | Stealing | | |
| Loud unmodulated voice | | Suicidal ideat | ion (thinking about killing oneself) | |
| Lacks awareness of personal space | | | ssary/ a lot of mistakes | |
| Intrusive | | | on (poor boundaries) | |
| Sibling rivalry | | Sexualized tal | | |

| Date April 15, 2 | 2017 Completed by Joan - mother |
|---|--|
| For each GOAL pleas | se describe |
| Intensity (I) – how Frequency (F) – how TIMES | long it lasts in seconds, minutes, or hours. intense the feeling or behavior is. 1 = mild to 5 = extreme. often the symptom, feeling, behavior occurs. Please list the NUMBER C per (pick one)hour/day/week. |
| Symptom 1 : Easily | y Frustrated |
| | tolerance to frustration |
| | ¥ 30-60 |
| D – How long does it I | last? secs minutes hours |
| I – How intense is it us | sually? Please circle ONE 1234-7-5 |
| F – How often does it | w 30-60 last? secsminuteshours sually? Please circle ONE 12345 occur? List NUMBER OF TIMES per/hour,/day, or/week |
| Indicators: | |
| • screams an | nd vells |
| • swears / cu | |
| | nds against wall |
| • cries inces | |

| Clien | t | Sympt | # of | Pre | Pre | Pre | Post | Post | Post |
|-------|------|-------|----------|-----------------------|-----------|---------|----------|-----------|---------|
| | | | sessions | Duration | Intensity | Freq. | Duration | Intensity | Freq. |
| 1. | DoMc | Anx | 60 | 1800 sec (30 mins) | 4 | 1/wk | 2 secs | 2 | 1/wk |
| 2. | SeWa | LFT | 20 | 12.5m | 3 | 6/day | 7.5m | 3 | 1.5/day |
| 3. | JaSe | Anx | 20 | 120m | 4.5 | 25.2/mo | 3m | 2.5 | 1.5/mo |
| 4. | AiGi | Anx | 20 | 120m | 3 | 8/mo | 10m | 3 | 3/mo |
| 5. | NiBi | LFT | 60 | 60 m | 3 | 3.5wk | 30m | 3 | .5wk |
| 6. | KaCh | LFT | 12 | 150m | 4 | 4/day | 20m | 3.5 | 1.5/day |
| 7. | SoRo | LFT | 40 | 60min | 1.5 | 3/day | 30min | 1.0 | 1/day |
| 8. | EnTh | Anx | 30 | 60min | 4.5 | 1.5/day | 25min | 3.0 | 1.5/day |
| 9. | PrNg | LFT | 30 | 1800sec | 5.0 | 1.5/day | 3.5sec | 1.0 | 1.0/day |
| 10. | EtKe | LFT | 20 | 25min | 4.0 | 3/day | 10min | 3.0 | 1/day |
| 11. | СаВа | LFT | 25 | 20min | 5 | 4.5/day | 1min | 2 | 2/day |
| 12. | ErZh | LFT | 40 | 30min | 4 | 3/day | 20min | 3 | 2/day |
| 13. | AjBe | LFT | 30 | 30min | 5 | 3.5/w | 1.5m | 4 | 4/w |
| 14. | AdMc | LFT | 30 | 1hr | 4 | 4/week | 45min | 3 | 3/ week |
| 15. | DoJa | LFT | 20 | 3hrs | 5 | 3/day | 1hr | 4 | 1/day |
| 16. | JaAr | LFT | 20 | 6.5 | 3 | 2/day | 3.5 | 3 | 2/day |
| 17. | KeCo | Anx | 20 | 30mins | 5 | 8/day | 10min | 3 | 2/wk |
| 18. | AvPa | LFT | 20 | 60min | 5 | 4.5/day | 20min | 1.5 | 1.5/day |
| 19. | AuBr | LFT | 25 | 20min | 5 | 4.5/wk | 20min | 4 | 2.5/wk |
| 20. | DeLa | Anx | 20 | 20min | 5 | 10/wk | 6min | 2.5 | 5/wk |





 H_o : $\mu_{Difference} = 0$ H_a : $\mu_{Difference} > 0$

Minitab output.
One-Sample T: Diff-Duration

Test of $\mu = 0$ vs $\mu > 0$

Variable N Mean StDev SE Mean 95% Lower Bound T P Diff-Dur 12 35.9 43.5 12.5 13.3 2.86 0.008

| Clie | ent | Symptom | # of sessions | Pre Duration | Pre Intensity | Pre Freq. | Post Duration | Post Intensity | Post Freq. |
|------|------|---------|---------------|-----------------|------------------|--------------|------------------|-------------------|---------------|
| 1. | DoMc | Anx | 60 | 1800 sec | 4 | 1/wk | 2 secs | 2 | 1/wk |
| 2. | SeWa | LFT | 20 | 12.5m | 3 | 6/day | 7.5m | 3 | 1.5/day |
| 3. | JaSe | Anx | 20 | 120m | 4.5 | 25/mo | 3m | 2.5 | 1.5/mo |
| 4. | AiGi | Anx | 20 | 120m | 3 | 8/mo | 10m | 3 | 3/mo |
| 5. | NiBi | LFT | 60 | 60 m | 3 | 3.5wk | 30m | 3 | .5wk |



SYMPTOM CHECKLIST for Neurofeedback Training

| Client name: | | Please RATE only the boxes that apply over the past six months. Items which are blank will be scored as '0 = no CONCERN'. | | | | |
|--------------|--------------|--|----------------------|-----|--|--|
| Date: | December Joh | 1 = mild concern | 2 = moderate concern | 3 = | | |

| | Severity | , social | Severity |
|--|----------|--|----------|
| F | 1-3 | Avoiding things | (3) |
| Emotionally sensitive | 1/3 | | 7 |
| Difficulty falling asleep | 1 3/ | Oppositional | N N |
| Unable to fall asleep or restless during the night | 3 | Nauseous (feeling like wanting to throw up a lot) | 4 |
| Waking up early | 12 | No enjoyment/interest in people or activities | (2) |
| Difficulty waking up | | Holds a grudge | 1 |
| Nightmares or night terrors | 12 | Rages, loss of control | 1 |
| Sleep walking | 0 | Tantrums | 0 |
| No energy to do things | | Crying | 2 |
| Resisting going to bed | a | Argumentative | 2 |
| Difficulty with transitioning — | (3) | Hitting | 0 |
| Afraid of being alone | 1(3) | Biting | 0 |
| Feeling 'flat' | | Self-Harming | 1 |
| Rapid heart rate | 0. | Feeling sad a lot of the time | 4 |
| Anxious | (3) | Headaches or migraines | 0 |
| Encopresis (soiling pants and/or bed) | 0 | Fainting | 0 |
| Enuresis (wetting pants and/or bed) | 0 | Diarrhea (NOT due to stomach flu or colds) | 13 |
| Dizziness | 12 | Feeling tense or uptight | 9 |
| Controlling | 12 | Constipation | 0 |
| | 12 | Muscle pain (NOT explained by injury) | 0 |
| Lying Headaches | 12 | Chest pain (NOT explained by injury) | 0 |
| | 18 | Over/under eating | 0 |
| Difficulty expressing feelings | 2 | Increase in colds or flus (sick more than usual) | Õ |
| Shy | 1853/ | Hyperactivity | 9 |
| Fearful | (3) | Difficulty organizing thoughts | 2 |
| Obsessive compulsive | 19 | Feeling other are against you | 2 |
| Aggressive | 18 | | 5 |
| Irritable | 2 | Feeling overwhelmed | P |
| Easily frustrated | 2 | Difficulty focusing | 11 |
| Stomach aches (stress-related) | 10 | Easily distracted | 1 |
| Panic attacks | 1 | Difficulty organizing activities | 1 QL |
| Post-traumatic stress | 1 | Not completing tasks | 1,1 |
| Mood swings | de | Loses train of thought | 1 |
| Poor emotional regulation | 1 | Difficulty completing school work | 0 |
| Parentified | 0 | Getting into trouble at school | 0 |
| Hypervigilant | 1 | Inattention/daydreams | 12 |
| Unwilling to express feelings | 2 | Slow processing/response | 0 |
| Indiscriminately approaches strangers (no stranger | 0 | Spatial problems (difficulty building things, | 0 |
| danger) | 6 | understanding how things should be put together) | |
| Thoughts that won't leave your mind | (3) | Worrying a lot of the time | 9 |
| Lacks empathy towards others | 1 | Feeling sad a lot of the time | 2 |
| Poor social cues | 12 | Impulsive | Q |
| Poor boundaries | 0 | Stealing | 0 |
| Loud unmodulated voice | 6 | Suicidal ideation (thinking about killing oneself) | 0 |
| Lacks awareness of personal space | 0 | Make unnecessary/ a lot of mistakes | 0 |
| | 19 | Sexual intrusion (poor boundaries) | 0 |
| Intrusive Sibling rivalry | 4 | Sexualized talk | 0 |

Sleep-up to 40 mins to fall asleep.

(4) 15+8=23(2) 15-(1)



3 = severe



SYMPTOM CHECKLIST for Neurofeedback Training

Client name:

Date:

Please RATE only the boxes that apply over the past six months.

Items which are blank will be scored as '0 = no concern'.

1 = mild concern

2 = moderate concern

3 = severe

| | Severity 1-3 | | Severity 1-3 |
|--|-----------------|---|-----------------|
| Emotionally sensitive | (7) | Avoiding things | |
| Difficulty falling asleep | 1 | Oppositional | 0 |
| Unable to fall asleep or restless during the night | 1 | Nauseous (feeling like wanting to throw up a lot) | \mathcal{Q} |
| Waking up early | 0 | No enjoyment/interest in people or activities | 0 |
| Difficulty waking up | 9 | Holds a grudge | O O |
| Nightmares or night terrors | (7) | Rages, loss of control | (2) |
| Sleep walking | 8 | Tantrums | 0 |
| No energy to do things | (A) | Crying | Q |
| Resisting going to bed | Ŏ | Argumentative | 0 |
| | 0 | Hitting | 0 |
| Difficulty with transitioning | 8 | Biting | 0 |
| Afraid of being alone | 8 | Self-Harming | |
| Feeling 'flat' | Y | Feeling sad a lot of the time | Ŏ |
| Rapid heart rate | 0 | Headaches or migraines | 0 |
| Anxious | 4 | | Õ |
| Encopresis (soiling pants and/or bed) | 8 | Fainting Diarrhea (NOT due to stomach flu or colds) | 0 |
| Enuresis (wetting pants and/or bed) | | | Ö |
| Dizziness | | Feeling tense or uptight | 0 |
| Controlling | V | Constipation | 8 |
| Lying | 9 | Muscle pain (NOT explained by injury) | - A |
| Headaches | 2 | Chest pain (NOT explained by injury) | 8 |
| Difficulty expressing feelings | | Over/under eating | × - |
| Shy | 2 | Increase in colds or flus (sick more than usual) | K |
| Fearful | Q | Hyperactivity | 103 |
| Obsessive compulsive | | Difficulty organizing thoughts | 1 |
| Aggressive | 2 | Feeling other are against you | 8 |
| Irritable | Q | Feeling overwhelmed | 1 |
| Easily frustrated | | Difficulty focusing | × |
| Stomach aches (stress-related) | 0 | Easily distracted | 8 |
| Panic attacks | 0 | Difficulty organizing activities | X |
| Post-traumatic stress | 2 | Not completing tasks | 100 |
| Mood swings | Q | Loses train of thought | 18 |
| Poor emotional regulation | 0 | Difficulty completing school work | 19 |
| Parentified | | Getting into trouble at school | 0, |
| Hypervigilant | | Inattention/daydreams | - |
| Unwilling to express feelings | 0 | Slow processing/response | 0 |
| Indiscriminately approaches strangers (no stranger | 0 | Spatial problems (difficulty building things, | 0 |
| danger) | | understanding how things should be put together) | 0 |
| Thoughts that won't leave your mind | 0 | Worrying a lot of the time | 9 |
| Lacks empathy towards others | 0 | Feeling sad a lot of the time | 0 |
| Poor social cues | 0 | Impulsive | 8 |
| Poor boundaries | | Stealing | 18 |
| Loud unmodulated voice | 0 | Suicidal ideation (thinking about killing oneself) | |
| Lacks awareness of personal space | Q | Make unnecessary/ a lot of mistakes | Q |
| Intrusive | 0 | Sexual intrusion (poor boundaries) | Q |
| Sibling rivalry | | Sexualized talk | |



Outcome Rating Scale (ORS)

| Name | AsG-1 | Age (Yrs): | _Sex: | M/F | | |
|-----------|-------------------|---|-------|-----|-------|--|
| Session # | lling out this fo | orm? Please check one: ationship to this person? | Self_ | 7 | Other | |

Looking back over the last week, including today, help us understand how you have been feeling by rating how well you have been doing in the following areas of your life, where marks to the left represent low levels and marks to the right indicate high levels. If you are filling out this form for another person, please fill out according to how you think he or she is doing.

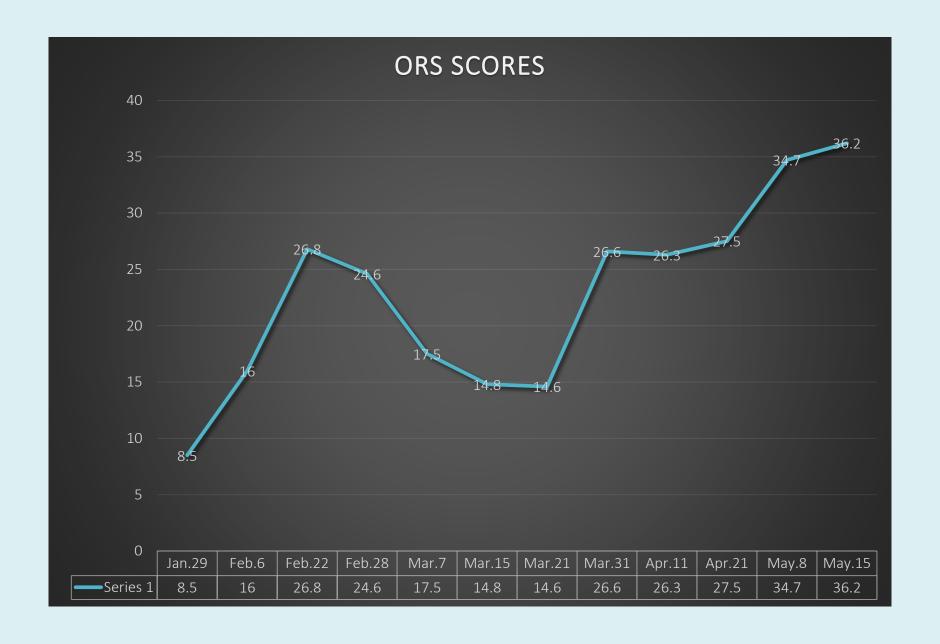
| 3 . | Individually (Personal well-being) | (O | 2.6 |
|----------------|--|-----|----------|
| (Fa | Interpersonally amily, close relationships) | | 1.9 |
| , | Socially /ork, school, friendships) | I | |
| , | Overall | I | 2-2 |
| | meral sense of well-being) | I | 2.8 |
| | the Study of Therapeutic Change www.talkingcure.com | | 40 |
| Closing at usu | ott D. Miller and Barry L. Duncan | the | 2814 |
| was less str | essful than she | ant | icipated |

Outcome Rating Scale (ORS)

| Name A G Age (Yrs): Sex: M/F) | |
|-------------------------------|--|
| ession # Date: | |

Looking back over the last week, including today, help us understand how you have been feeling by rating how well you have been doing in the following areas of your life, where marks to the left represent low levels and marks to the right indicate high levels. If you are filling out this form for another person, please fill out according to how you think he or she is doing.

| O | Individually (Personal well-being) | 10 | |
|--|---|------------------|------|
| I | | /I | 9.2 |
| | Interpersonally (Family, close relationships) | | |
| I | | /I | 9.2 |
| I | Socially (Work, school, friendships) | /- I | 9.0 |
| I | Overall (General sense of well-being) | - / I | 8.8 |
| - | Institute for the Study of Therapeutic Change www.talkingcure.com | 3 | 36.2 |
| | © 2000, Scott D. Miller and Barry L. Duncar | 1 | |
| * burnt myser 1 tolerand 1 adaptiv | If. le increased = ity increused | | |



| Client | Sympt | # of | Pre | Pre | Pre | Post | Post | Post |
|---------|-------|--------|----------|-----------|-------|----------|-----------|-------|
| | | sessio | Duration | Intensity | Freq. | Duration | Intensity | Freq. |
| | | ns | | | | | | |
| 4. AiGi | Anx | 20 | 120m | 3 | 8/mo | 10m | 3 | 3/mo |

I have never felt this good in my entire life

Conclusions:

The work I have reviewed here strongly suggests that the use of NeurOptimal lessens symptoms related to Emotional Regulation for individuals with Autism. These results are consistent with those of Zivoder, Martic-Biocina, Kosic & Bosak (2015) in their study of neurofeedback training for ASD youth, in which they reported changes in behaviour including less aggression, increased cooperation, and communication, improved attention span and sensory motor skills. All subjects in their study accomplished a certain degree of improvement in their level of daily functioning.

Unfortunately, both studies lack a large enough sample size. Therefore a larger study with more controls is needed.

There is a rapidly growing number of NeurOptimal users and my hope is that we can take this to the next level, and formalize some measures and procedures to tighten things up, build a larger group to study, and a better controlled study.

It has been exciting working with this group, who in many respects are outliers in society. Changing their trajectory, by allowing them freedom from their sensory

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